



MTS-2700US1

PATENT

M. Braunson

12/02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuji Judai : Art Unit: 2814  
Serial No.: November 21, 2001 : Examiner: To Be Assigned  
Filed: 09/990,474 :  
FOR: SEMICONDUCTOR DEVICE HAVING :  
A FERROELECTRIC CAPACITOR :  
WITH TENSILE STRESS PROPERTIES :  
(AS AMENDED) :

TECHNOLOGY CENTER 2800

OCT - 9 2002

RECEIVED

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The Japanese references are not in the English language, but English abstracts or counterpart patents thereof are attached to the copies of the references submitted herewith.

This reference was cited by a foreign patent office in a counterpart foreign application. A copy of the Japanese Office Action with partial English

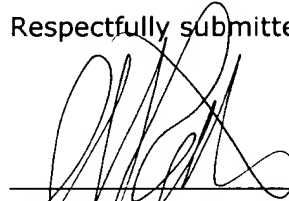
language translation, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP 609 IIIA(3), second paragraph.

No first Official Action has yet been received and it is presumed that none has yet been mailed. A certification, however, is provided herewith:

**CERTIFICATION**

The undersigned, an attorney registered to practice before the U.S. Patent and Trademark Office, hereby certifies that the reference disclosed in the subject Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of the Information Disclosure Statement.

Respectfully submitted,



\_\_\_\_\_  
Allan Ratner, Reg. No. 19,717  
Attorney for Applicants

Enclosures: PTO Form 1449  
Copies of (8) References

Dated: October 2, 2002

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

  
October 2, 2002

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

MTS-2700US1

SERIAL NO.

09/990,474

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

APPLICANT

Yuji Judai

FILING DATE

November 21, 2001

GROUP

2814

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	6,174,822	01/16/01	Nagano et al. (with counterpart JP 11-74486)			
	5,750,419	05/12/98	Zafar (with counterpart JP 10-270655)			
	5,374,578	12/20/94	Patel et al. (with counterpart JP 06-13565)			

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
	11-74486	03/16/99	Japan (with counterpart U.S.P. 6,174,822)			
	10-270655	10/09/98	Japan (with counterpart U.S.P. 5,750,419)			
	06-13565	01/21/94	Japan (with counterpart U.S.P. 5,374,578)			
	62-150726	07/04/87	Japan (with English abstract)			X
	08-022990	01/23/96	Japan (with English abstract)			X

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

1.	Japanese Official Action dated July 23, 2002, for JP 9-293046 with partial English translation.

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.